



NEATH PORT TALBOT COUNTY BOROUGH COUNCIL

GOVERNANCE & AUDIT COMMITTEE

REPORT OF THE DIRECTOR OF STRATEGY & CORPORATE SERVICES

MR NOELWYN DANIEL

13th NOVEMBER 2023

Matter for Monitoring

Wards Affected - All

2023/24 Risk Register Monitoring

Purpose of the report

1. To enable the Governance & Audit Committee to review and assess the Council's Risk Management arrangements.

Executive Summary

2. The Council's Strategic Risk Register was presented to Cabinet on 8TH November for monitoring purposes.
3. The Register is presented to Governance & Audit Committee to enable the Committee to discharge the requirements contained within S81 (c) (d) of the Local Government (Wales) Measure 2011 to "review and assess the risk management arrangements of the authority" and "make reports and recommendations to the authority on the adequacy and effectiveness of those arrangements".

Strategic Risks

4. The Strategic Risk Register is included at Appendix 1 of this report. Members should note that some elements of the register have been updated since it was last presented to Governance & Audit. The updates have been noted below:

Ref:	Current Version
SR 1	• Cymmer Bridge Risk Owner comment updated.

	<ul style="list-style-type: none"> Property Asset Management Plan added to Mitigation Plan.
SR 2	<ul style="list-style-type: none"> First mitigation plan – risk owner comment updated
SR 3	No changes
SR 4	<ul style="list-style-type: none"> 2nd mitigation plan – risk owner comment updated
SR 5	No changes
SR 6	No changes
SR 7	8 th and 9 th mitigation plan – risk owner comment updated
SR 8	No changes
SR 9	No changes
SR 10	<ul style="list-style-type: none"> Risk rating raised from Low to Medium
SR 11	No changes
SR 12	No changes
SR 13	<i>IF the situation in Ukraine escalates and government planning is insufficient to cater for the numbers of refugees arriving in NPT THEN there is a risk that local public services and budgets will be overwhelmed – this risk has been deescalated to the Social Services, Health and Housing Directorate Risk Register</i>
SR 14	<ul style="list-style-type: none"> Mitigation plan 3 column updated Officer name added to responsible person Risk rating raised from Medium to High Risk owner comment updated
SR 15	Risk rating raised from Medium to High
SR 16	No changes
SR 17	No changes
SR 18	<i>IF the full delivery of UK Levelling Up Fund programme is not delivered by March 2025 THEN the funding could potentially be withdrawn – this risk has been de-escalated to the Environment Directorate Risk Register</i>

In addition, the following risks have been added to the register to cover recent local, national and global issues which could potentially impact the council, residents and businesses alike.

SR 18	IF there isn't a sufficient government and industry response to the announcement of the changes at Tata THEN local people and the local economy will be severely impacted.
SR 19	IF there is continued or escalated instability and conflict across the world THEN there are heightened risks of community tensions and additional pressures on an already stretched homeless services.
SR 20	IF the Welsh Government's In-year Statement is that it has a £900m shortfall in its 2023-2024 revenue budget this will result in a much

	lower settlement for local government THEN cuts to services and jobs and high council tax levels will follow.
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Integrated Impact Assessment

5. There is no requirement for an Integrated Impact Assessment as the report is for monitoring purposes only.

Valleys Communities Impacts

6. No Impact.

Workforce Impacts

7. There are no workforce impacts arising from this report.

Legal Impacts

8. There are no legal impacts arising from this report.

Risk Management Impact

9. This report ensures compliance with the approved Corporate Risk Management Policy.

Consultation

10. There is no requirement for external consultation on this item.

Recommendations

11. It is recommended that Governance & Audit Committee review and assess taking assurance that the risks set out in Appendix 1 to this report are being monitored.

Reason for Proposed Decision

12. To ensure compliance with the approved Risk Management Policy.

Appendices

13. Appendix 1 - Strategic Risk Register

Background Papers

14. Risk Management Policy – Cabinet 5th April 2023

Officer Contact

15. For further information on this report item, please contact:

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